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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

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Applicati n Number	10/658,043
Filing Date	09/09/2003
First Named Inventor	Peter Sui Lun Fong
Group Art Unit	3712
Examiner Name	Unknown
Attorney Docket Number	NORLA-001C5

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
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- ☐ After Allowance Communication to Group
  - ☐ Appeal Communication to Board of Appeals and Interferences
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  - ☐ Proprietary Information
  - ☐ Status Letter
  - ☐ Other Enclosure(s) (please identify below):
- Certificate of Mailing and Return Receipt Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

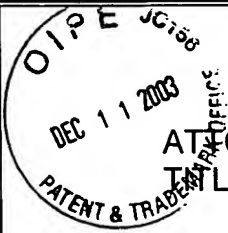
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ATTORNEY DOCKET NO: NORLA-001C5  
TITLE: INTERACTIVE TALKING DOLLS

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Attorney Docket No. NORLA-001C5  
Serial No. 10/658,043



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Peter Sui Lun Fong et al. )  
Serial No.: 10/658,043 ) Art Unit: 3712  
Filing Date: 09/09/2003 ) Examiner: Unknown  
For: INTERACTIVE TALKING )  
DOLLS )  
\_\_\_\_\_ )

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. SECTION 1.97**

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Assistant Commissioner for Patents  
Post Office Box 1450  
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Dear Sir/Madam:

Pursuant to 37 C.F.R. § 1.97, the following Information Disclosure Statement is submitted as listed on form PTO/SB/08A enclosed herewith in duplicate. Copies of all disclosure documents are attached hereto for the Examiner's review.

No representation is made that the references disclosed herein legally constitute prior art, or that more relevant references are not available. The disclosure documents enclosed herewith and listed on the attached form (PTO/SB/08A) are printed in the English language and/or accompanied by an Abstract published in the English language.

The references listed herein, when taken alone or in combination are not believed to disclose nor make obvious the invention as claimed in the subject application.

As this Information Disclosure Statement is being submitted before the stipulated three

Attorney Docket No. NORLA-001C5  
Serial No. 10/658,043

months from the filing date of the application and/or before the mailing of a first Office Action,  
it is believed that no fee is required.

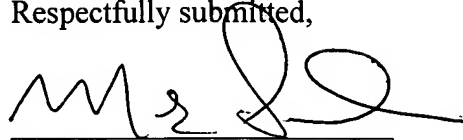
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Respectfully submitted,

By:

A handwritten signature in black ink, appearing to read 'Mark B. Garred', written over a horizontal line.

Mark B. Garred

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Compleat if Known</b>		
			Application Number	10/658,043	
			Filing Date	09/09/2003	
			First Named Inventor	Peter Sui Lun Fong	
			Art Unit	3712	
			Examiner Name	Unknown	
Sheet	1	of	1	Attorney Docket Number	NORLA-001C5

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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